



#33628

TO: ALL CLEARING MEMBERS

DATE: NOVEMBER 27, 2013

**SUBJECT: ALCATEL-LUCENT – CASH DISTRIBUTION IN LIEU OF RIGHTS
OPTION SYMBOL: ALU
DATE: 12/3/13**

Alcatel-Lucent (ALU) announced a distribution of Rights to holders of Alcatel-Lucent Ordinary Shares. The Rights were not registered in the United States and will not be distributed to holders of ALU American Depositary Shares (ADS's). In lieu of the Rights, J.P. Morgan, as the depository for ALU, sold the rights in the home market and will distribute the proceeds of the sale to ALU ADS Holders. The net distribution is \$0.165370 per ADS (gross rate of \$0.175370 - \$0.010000 Depository Fee).

The ADS record date is December 5, 2013. The payable date is December 19, 2013. The New York Stock Exchange (NYSE) has set December 3, 2013, as the ex-distribution date.

CONTRACT ADJUSTMENT

EFFECTIVE DATE: December 3, 2013

CONTRACT MULTIPLIER: 1

STRIKE PRICES: Strike prices will be reduced by 0.165370, rounded to the nearest penny (for example, a strike of 1.00 will be reduced to 0.83; a strike of 5.50 will be reduced to 5.33)

OPTION SYMBOLS: ALU remains ALU

NUMBER OF CONTRACTS: No Change

MULTIPLIER: 100 (e.g., a premium of 1.50 yields \$150)

DELIVERABLE PER CONTRACT: 100 Alcatel-Lucent (ALU) American Depositary Shares

CUSIP: ALU: 013904305

DISCLAIMER

This Information Memo provides an unofficial summary of the terms of corporate events affecting listed options or futures prepared for the convenience of market participants. OCC accepts no responsibility for the accuracy or completeness of the summary, particularly for information which may be relevant to investment decisions. Option or futures investors should independently ascertain and evaluate all information concerning this corporate event(s).

The determination to adjust options and the nature of any adjustment is made by a panel of The OCC Securities Committee pursuant to OCC By-Laws, Article VI, Sections 11 and 11A. The adjustment panel is comprised of representatives from OCC and each exchange which trades the affected option. The determination to adjust futures and the nature of any adjustment is made by OCC pursuant to OCC By-Laws, Article XII, Sections 3, 4, or 4A, as applicable. For both options and futures, each adjustment decision is made on a case by case basis. Adjustment decisions are based on information available at the time and are subject to change as additional information becomes available or if there are material changes to the terms of the corporate event(s) occasioning the adjustment.

ALL CLEARING MEMBERS ARE REQUESTED TO IMMEDIATELY ADVISE ALL BRANCH OFFICES AND CORRESPONDENTS ON THE ABOVE.

Questions regarding this memo can be addressed to Member Services at (800) 544-6091. Within Canada call (800) 424-7320.